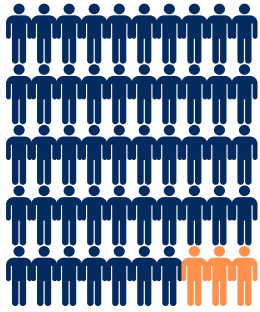


MDPCP Program Compliance Audits PY 2019

Quick Reference Guide



Or fewer MDPCP practices and CTOs will be selected each year for any type of MDPCP audit

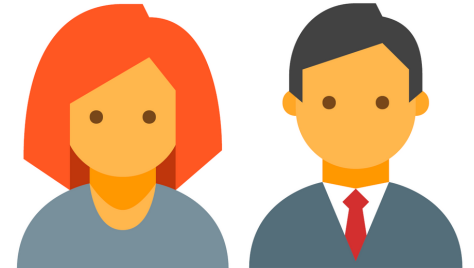
Program Compliance Audits

Audit Purpose:

- Assist CMS in program oversight and provide information for program improvements

Audit Goals:

- Substantiate practice-reported data to help ensure care delivery services were delivered to patients as reported
- Determine compliance with the MDPCP Participation Agreement (PA)
- Provide education to internal and external stakeholders, inform policies and program design, and improve model effectiveness



MDPCP audit contractor Lewin and audit subcontractor iCareSolutions will be conducting the MDPCP program compliance audits.



Program Compliance Audit Focus

- Empanelment
- Risk stratification
- Hospital discharge follow-up
- Emergency department visit follow-up
- Use of CMF and CPCPs



Documentation Requests

If you are audited, you will be asked to provide documentation to support what you reported to CMS.

Care delivery documentation may include:

- Health care IT reports
- Screenshots from your EHR
- Crosswalks for reporting

Financial documentation may include:

- Financial statements, ledger, invoices
- Accounting system reports
- Receipts or Form W-2s

Audit Timeline

Audits will be conducted during 2021 Q1 and Q2.

January 2021, March 2021

Your practice or CTO receives the audit notification package.

January 2021 – June 2021

Review your notification package; attend an introductory conference; submit your responses and documentation for review.

April 2021 – July 2021

Attend a preliminary findings conference to discuss your findings; provide additional information; receive the final audit report, which outlines your audit results and any follow up actions.



Audit Outcomes

Audits are for both educational purposes and to assess MDPCP compliance. If you meet the audit requirements, no further action is required.

If we identify audit findings, a range of actions may result depending on the severity of findings, including:

- Notice of remedial action
- Request for corrective action(s)
- Follow-up audit
- Repayment of program funds
- Termination from MDPCP



Need Assistance?

For questions regarding the audit, please email MarylandModel@cms.hhs.gov.